

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

2161
#5
SP
6-04-03

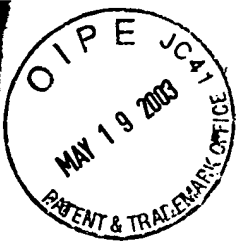
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/024,441
	Filing Date	December 18, 2001
	First Named Inventor	Ram Gopal Lakshmi Narayanan
	Group Art Unit	2161
Examiner Name	TBA	
Total Number of Pages in This Submission		Attorney Docket Number 005288.00020

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
RECEIVED MAY 22 2003 GROUP 3600		Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bradley C. Wright, Reg. No. 38,061
Signature	
Date	May 19, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	Group Art Unit: 2161
)	
Ram Gopal Lakshmi Narayanan)	Examiner: TBA
)	
Serial No. 10/024,441)	Attorney Docket No. 005288.00020
)	
Filed: December 18, 2001)	

For: SYSTEM AND METHOD USING LEGACY SERVERS IN RELIABLE SERVER POOLS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313

RECEIVED
MAY 22 2003
GROUP 3600

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner. We hereby certify under 37 CFR §1.97(e)(1) that each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

We further certify under 37 C.F.R. § 1.704 (d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

These documents were cited by the International Searching Authority for corresponding International Application No. PCT/IB02/05404 on May 13, 2003. A copy of the search report is also enclosed.

Consideration of this information is respectfully requested.

Information Disclosure Statement

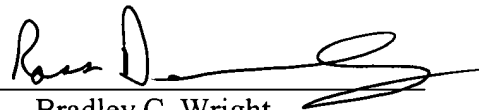
Serial No. 10/024,441

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

Date: May 19, 2003

By:

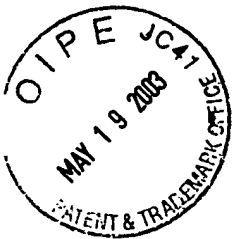


Bradley C. Wright
Registration No. 38,061

Banner & Witcoff, LTD
1001 G Street, N.W.
Washington, D.C. 20001-4597
(202) 508-9100

Reg. No. 49,024

BCW/RAD/mmd

**Information Disclosure Statement**

Serial No. 10/024,441

Sheet 1 of 1

PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 005288.00020	SERIAL NUMBER 10/024,441
	APPLICANT Ram Gopal Lakshmi Narayanan	
	FILING DATE December 18, 2001	GROUP ART UNIT 2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,729,689	3/17/98	Allard et al.			

RECEIVED
MAY 22 2003
GROUP 3600

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Search Report
	M. Tuexen, et al., "Requirements for Reliable Server Pooling", Network Working Group, Internet Draft, November 2000, pages 1-18
	R. Gopal, et al., "End Name Resolution Protocol Candidate (ENRP)", Network Working Group, Internet Draft, July 2001, pages 1-36
	R. Gopal, et al., "Aggregate Server Access Protocol Candidate (ASAP)", Network Working Group, Internet Draft, July 2001, pages 1-43

EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	

IDS w/1449 form filed: May 19, 2003